

**WORKMAN  
NYDEGGER  
& SEELEY**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

DAVID D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
BRADLEY K. DESANDRO  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
GREGORY M. TAYLOR  
DANA L. TANGREN  
KEVIN B. LAURENCE  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE

DAVID B. DELLENBACH  
KEVIN K. JOHANSON  
L. DAVID GRIFFIN  
R. BURNS ISRAELSEN  
DAVID R. TODD  
FRASER D. ROY  
CARL T. REED  
JESÚS JUANÓS i TIMONEDA, Ph.D.  
STEPHEN D. PRODNUK, Ph.D.  
R. PARRISH FREEMAN, Jr.  
PETER F. MALEN, Jr.  
ADRIAN J. LEE  
KYLE H. FLINDT

ADMITTED ONLY IN CALIFORNIA

H. ROSS WORKMAN  
OF COUNSEL

PATENT  
TRADEMARK  
COPYRIGHT  
TRADE SECRETS  
UNFAIR COMPETITION  
LICENSING  
COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspat.com>

GENERAL E-MAIL: [info@wnspat.com](mailto:info@wnspat.com)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX: PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL FOR PATENT APPLICATION  
AND INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled EFFICIENTLY SENDING EVENT NOTIFICATIONS OVER A COMPUTER NETWORK in the name of the following inventor(s): Brian Deen, Joel Matthew Soderberg and Alex Hopmann.

Enclosed are the following:

- ☒ A specification, claims, abstract, and cover page in total comprising 52 (fifty-two) pages.
- ☒ A set of 9 (nine) sheets of drawings.
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EL 550 340 266 US.
- ☒ No Declaration, Power of Attorney, and Petition is enclosed.

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- ☐ Statement of relevance of selected cited references not in the English language which are not translated.

JC913 U.S. PTO  
09/680122  
10/04/00

- ☐ Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- ☐ Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- ☒ Form PTO-1449 listing 2 (two) references submitted for consideration (1 (one) pp).
- ☒ Copies of 2 (two) of the 2 (two) references listed on the Form PTO-1449.
- ☐ English translations of 0 of the references listed on the Form PTO-1449 which are not in the English language.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE(x)	FEE	RATE(x)	FEE
BASIC FEE				\$345.00		\$710.00
TOT. CLAIMS	35 - 20	15	\$9.00	\$135.00	\$18.00	\$270.00
IND. CLAIMS	16 - 3	13	\$40.00	\$520.00	\$80.00	\$1040.00
MULTIPLE DEPENDENT CLAIM		0	\$135.00	\$0.00	\$270.00	\$0.00
			TOTAL	\$1,000.00	TOTAL	\$2,020.00

- ☒ The Commissioner is hereby authorized to charge and credit the credit card account number in the enclosed Credit Card Payment Form for the amount of \$2,020.00 to cover the charges listed below:
- ☒ The \$2,020.00 government filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the credit card account number in the enclosed Credit Card Payment Form.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to the credit card account number in the enclosed Credit Card Payment Form.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ A Credit Card Payment Form is enclosed
- ☒ A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of:

Rick D. Nydegger  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 E. South Temple  
Salt Lake City, Utah 84111

Dated this 4th day of October, 2000.

Respectfully submitted,



Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785



**022913**

PATENT TRADEMARK OFFICE

AJL:em

G:\DATA\WPDOCS3\EMM\AJL\13768-155 B01b.doc

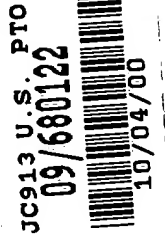
PATENT APPLICATION

Docket No. 13768.155

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label No. EL 550 340 266 US

Date of Deposit: October 4, 2000.



CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: BOX: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231:

- Patent Application in the name(s) of Deen et al. for EFFICIENTLY SENDING EVENT NOTIFICATIONS OVER A COMPUTER NETWORK consisting of 52 (fifty-two) pp.
- A set of 9 (nine) sheets of drawings
- Information Disclosure Statement (2 pp.)
- Form PTO 1449 (1 pp.)
- Copies of 2 References
- Transmittal Letter (3 pp.)
- Credit Card Payment Form
- Certificate of Express Mailing Label No. EL 550 340 266 US
- Postcard

Dated this 4th day of October, 2000.

Respectfully submitted,

Emily Mecham

Assistant to

Adrian J. Lee

Attorney for Applicant

Registration No. 42,785



**022913**

PATENT TRADEMARK OFFICE

AJL:em

G:\DATA\WPDOCS3\EMM\AJL\13768-155 B01b.doc